

Doping-induced electron density modification at lattice sites of ZnO:Ga nanostructures: effects on vibrational and optical properties

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Abstract Effects of Ga doping on the morphology, microstructure, electron density distribution, and optical properties of hydrothermally grown ZnO nanostructures have been studied by means of scanning electron microscopy, diffuse reflectance spectroscopy, X-ray diffraction, and the maximum entropy methods. It has been shown that while Ga incorporation in ZnO lattice does not result in a large distortion of its wurtzite structure, it affects substantially the electronic charge distribution along the Zn–O bonds. Anisotropic redistribution of the electron charge density around the cation sites consolidates the assumption that the Ga atoms in doped nanostructures incorporate by substituting Zn atoms. The formation of a high density of point defects modifies the lattice dynamics of ZnO; in addition, it introduces a pronounced band-tail in the forbidden band gap.

Introduction

Zinc oxide (ZnO) is an *n*-type wide band gap semiconductor (3.37 eV at room temperature) vastly used for the development of optoelectronic devices like light-emitting devices and solar cells [1]. Non-intentionally doped ZnO gives *n*-type conduction with a typical carrier concentration of $\sim 10^{17} \text{ cm}^{-3}$ [2, 3], which, although high, is far below the required carrier concentrations for laser diode applications. Hence, controlling the carrier concentration of ZnO remains a major challenge for its optoelectronic applications. In this effect, IIIA elements such as B, Al, Ga, and In have been utilized as *n*-type dopants to increase the carrier concentration of ZnO as high as 10^{21} cm^{-3} [4–8]. Among them, Ga seems to be the most effective *n*-type dopant in ZnO [9], as the bond length of Ga–O (1.92 Å) is nearly equal to that of Zn–O (1.97 Å) [10]. On the other hand, the interest in low-dimensional ZnO structures has increased drastically in recent years due to the novel physical properties they exhibit. For example, it is believed that doped *n*-type ZnO nanostructures with high carrier concentrations have potential for diverse applications, including microelectronics, chemical and biological sensing and diagnosis, energy conversion and storage, light-emitting displays, catalysis, drug delivery, and optical storage [11–13]. However, for each of these applications, controlling the carrier concentration or, specifically, the electron charge distribution is extremely important for acquiring desired effects in the fabricated devices, since any chemical or physical process happens ultimately, through the interaction of electrons of the atoms/ions in crystal lattice. Hence, elucidating the properties like electron distribution and charge ordering in crystal lattice is a pivotal issue for the utilization of doped nanocrystals. Nevertheless, despite its relevance, to the best of our

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knowledge, detailed studies concerning spatial charge redistribution induced by doping process have not been reported for ZnO nanostructures.

In the present work, the effect of gallium content on the spatial distribution of electronic charge, along with its consequences over the structural and optical properties of hydrothermally grown ZnO nanostructures is studied experimentally using powder X-ray diffraction, Rietveld refinement [14, 15], and maximum entropy method [16]. Effects of Ga inclusion on the charge distribution and bonding behavior of constituent atoms in ZnO lattice have been analyzed. The morphology, elemental composition, vibrational modes, and optical characteristics of the samples have been studied using scanning electron microscopy, energy dispersive X-ray spectroscopy, Raman spectroscopy, and diffuse reflectance spectroscopy techniques.

Experimental

The gallium-doped ZnO samples were synthesized through a low-temperature hydrothermal route. Briefly, zinc acetate dihydrate ($\text{Zn}(\text{CH}_3\text{COO})_2 \cdot 2\text{H}_2\text{O}$; J.T. Baker, 99.9 %), gallium (III) nitrate hydrate ($\text{Ga}(\text{NO}_3)_3 \cdot x\text{H}_2\text{O}$; Aldrich, 99.99 %), sodium hydroxide (NaOH; J.T. Baker, 99.9 %), and ethylenediamine ($\text{H}_2\text{N}(\text{CH}_2)_2\text{NH}_2$, EDA; J.T. Baker, 99.9 %) were used as received. In a typical synthesis, an alkaline solution was prepared dissolving 15 mL of EDA in 135 mL of ultrapure deionized water (resistivity > 18 M Ω cm) under vigorous magnetic stirring. Then, 12.8 g of zinc acetate was added to the previous solution until the solution become optically transparent. The pH of the solution was then adjusted to 12 by adding about 2.12 g of NaOH. The temperature of the mixture solution was then increased up to 100 °C and maintained at this temperature for 15 h. Finally, the solution was freely cooled to room temperature, and the obtained precipitate was filtered and dried under vacuum. For obtaining Ga-doped ZnO nanostructures, keeping all conditions same as undoped sample, 50.3, 127.6, and 261.9 mg of gallium nitrate were dissolved in the reaction solution before the thermal treatment to obtain nominally 1.0, 2.5, and 5.0 mol% doped samples, respectively.

Doped and undoped samples were analyzed through scanning electron microscopy, energy dispersive X-ray spectroscopy (SEM/EDS; Jeol JSM 6610LV with an Oxford Instruments INCA X-Act EDS analytical system attached), powder X-ray diffraction (XRD; Philips X'Pert PRO), Raman spectroscopy (LabRAM HR-Olympus system in backscattering configuration, $\lambda_{\text{exc}} = 633$ nm), and diffuse reflectance spectroscopy (DRS; Varian Cary 5000). The crystal structure and charge density were analyzed further through the Rietveld refinement and the maximum entropy method (MEM).

Results and discussion

Figure 1 shows typical SEM micrographs of the undoped and doped samples. For the undoped sample (Fig. 1a), formation of microstructures constituting a radial arrangement of needle-like nanostructures is observed. Such structural sub-units are around 200 nm in diameter and about 6 microns in length. Formation of observed morphology suggests an agglomeration of ZnO nuclei at the very early stage of growth process. It can be noted that as the nominal Ga content increases, the morphology of the one-dimensional primary structures changes progressively from needle-like to rod-like (Fig. 1b–d). For the 5.0 % nominal Ga-doped ZnO sample, a rough structure localized at the tip of the nanostructures is observed. A depletion of available ZnO growth units along with an increase of the Ga/Zn ratio at the final stages of growth process was found responsible for such peculiar feature [17].

The elemental composition of the synthesized samples determined by EDS is presented in Table 1. It can be seen that the average Ga atom percent (at.%) does not follow a linear tendency; nevertheless, it increases monotonically with the nominal content in the samples. EDS results of the doped samples suggest that most of the Ga atoms incorporate into the ZnO lattice as substitutional defects (Ga_{Zn}), since the O at.% remains almost same and Zn at.% decreases with the increase of Ga content in the reaction mixture. Probably, formation of positively charged point defects (e.g., O_i or V_{Zn}) to retain the local electroneutrality near to Ga_{Zn} contributes with the observed lower metallic ion concentration for heavily doped samples [9, 18].

Both the doped and undoped ZnO nanostructures were characterized by powder X-ray diffraction. The diffraction intensity data were collected using an X'Pert PRO (Philips, the Netherlands) X-ray diffractometer set in Bragg–Brentano (θ – 2θ) geometry equipped with a monochromatic source of Cu K_α radiation (mixture of Cu $K_{\alpha 1}$ and Cu $K_{\alpha 2}$; $\lambda = 1.5418$ Å). The X-ray patterns were acquired in the 2θ range of 10°–120° with a step size of 0.02°. Figure 2 shows the X-ray diffraction patterns of the ZnO nanostructures with different gallium contents. For the doped and undoped samples, all the observed diffraction peaks match with the standard X-ray powder diffraction pattern reported for wurtzite ZnO phase (*w*-ZnO) [19]. No additional diffraction signal attributable to a secondary phase was identified. It can be seen that the Ga doping has no strong influence on the intensity and width of the ZnO diffraction peaks. Therefore, it is proposed that the incorporated Ga atoms occupy preferential sites in the ZnO lattice, preventing any significant lattice disorder. Because of its size and valency, most of the incorporated gallium atoms get incorporated into the ZnO lattice mostly as substitutional defects (Ga_{Zn}), in agreement with the EDS results. Although, it is expected

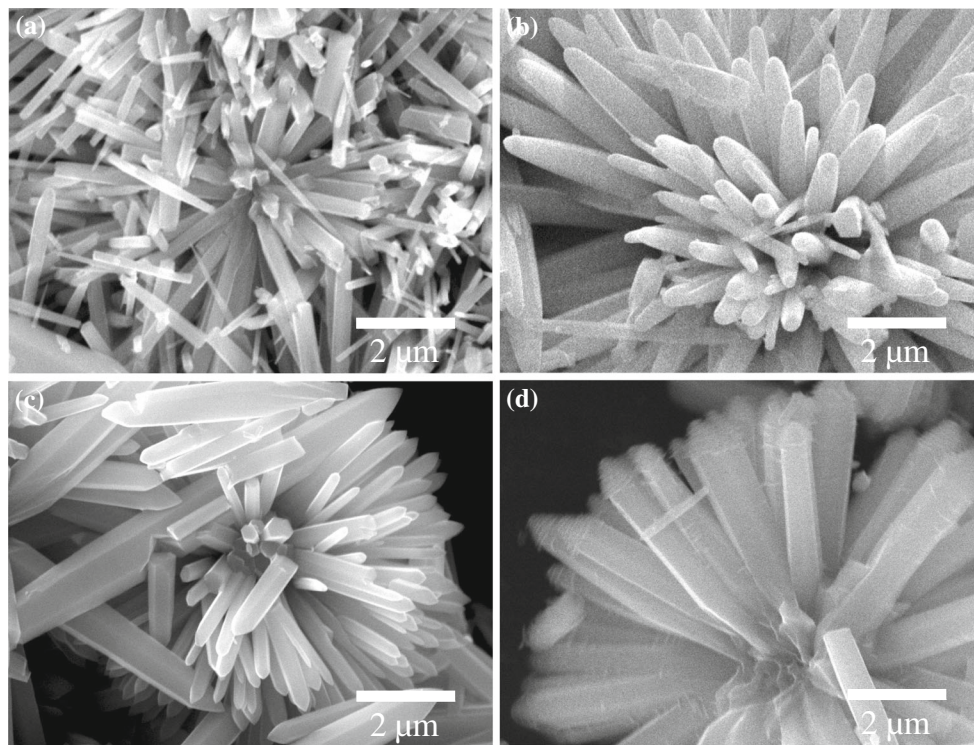


Fig. 1 Typical SEM micrographs of the **a** undoped, **b** 1.0, **c** 2.5, and **d** 5.0 mol% (nominal) Ga-doped samples

Table 1 EDS elemental composition, estimated band gap energy (E_g), and band-tail parameter (E_0) of undoped and gallium-doped samples

Nominal Ga concentration (mol%)	Zn:O:Ga (at.%, average)	E_g (eV)	E_0 (meV)
0.0	47.73:52.27:0.00	3.24(3)	75
1.0	46.90:52.95:0.15	3.24(2)	95
2.5	50.16:49.55:0.29	3.31(2)	105
5.0	40.44:58.47:1.08	3.30(3)	100

that under high doping concentrations, interstitial Ga defects (Ga_i) could be formed, nevertheless formation of such defects is energetically less favorable than substitutional Ga. Therefore, the point defects like Ga_{Zn} must dominate in the nanocrystals until the concentration of incorporated gallium is below its solubility limit [20, 21].

The effect of Ga content on the structural features of ZnO nanostructures was analyzed further using the Rietveld refinement method [14]. JANA 2006 software [22] was used to fit the experimental and calculated diffraction patterns by considering the hexagonal structure of *w*-ZnO, which belongs to the $P6_3mc$ space group containing two formula units per primitive cell. The input atomic coordinates (x, y, z) were set as $(\frac{1}{3}, \frac{2}{3}, 0)$ and $(\frac{2}{3}, \frac{1}{3}, \frac{1}{2})$ for Zn atoms, and $(\frac{1}{3}, \frac{2}{3}, u)$ and $(\frac{2}{3}, \frac{1}{3}, u + \frac{1}{2})$ for O atoms, where $u = 0.3875$ [23, 24]. The experimental and calculated XRD patterns for

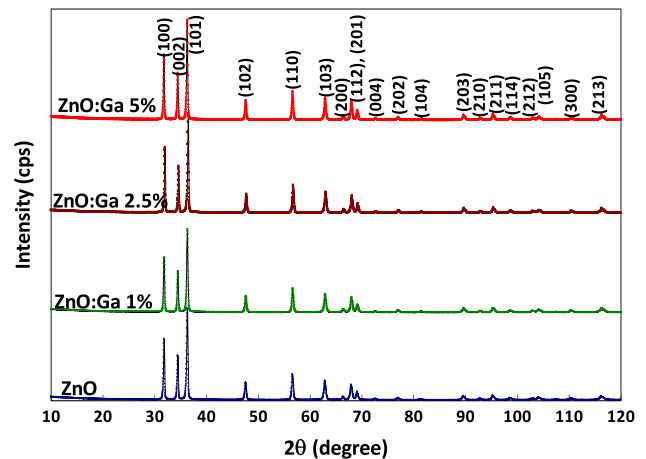


Fig. 2 X-ray powder diffraction patterns of the doped and undoped samples

the undoped and doped samples are shown in Fig. 3. Reliability indices and other refined parameters are summarized in Table 2. It can be noted that initially the lattice parameters a and c tend to lengthen with Ga content and therefore also the unit cell volume. The lattice parameter may not be linear after about 2.5 % of Ga^{3+} doping. This structural feature of doped samples can be attributed to a high density of Ga atoms located at Zn sites and the formation of interstitial oxygen (O_i) [21]. However, for the

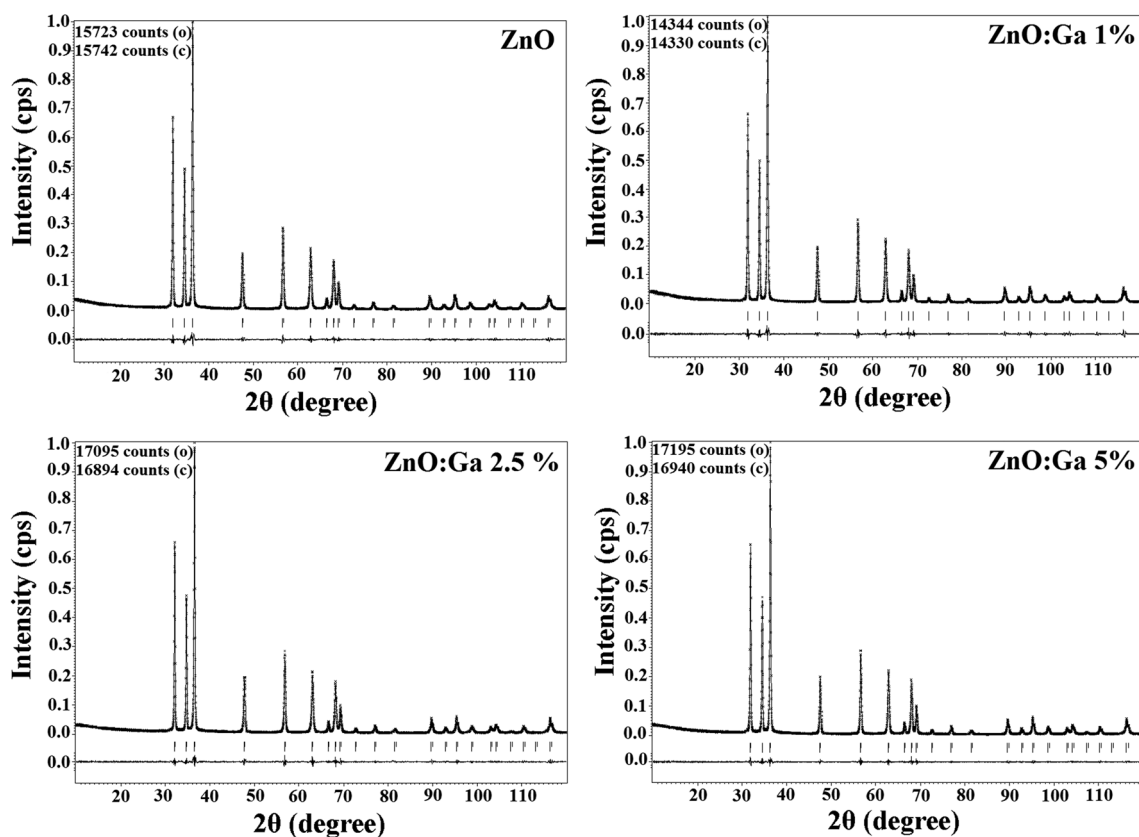


Fig. 3 Observed (*circles*) and calculated (*continuous line*) XRD patterns of the undoped and Ga-doped samples. The *vertical bars* indicate the *w*-ZnO reflection positions. The *difference plot* is shown at the *bottom* for each sample

Table 2 Refined structural parameters for undoped and gallium-doped samples

Refined parameter	Nominal Ga concentration (mol%)			
	0.0	1.0	2.5	5.0
a (Å)	3.2487 (15)	3.2496 (14)	3.2412 (41)	3.2503 (8)
c (Å)	5.2033 (29)	5.2036 (26)	5.1943 (77)	5.2059 (14)
$\alpha = \beta$	90°	90°	90°	90°
γ	120°	120°	120°	120°
Volume (Å ³)	47.5585 (453)	47.5886 (417)	47.2567 (1250)	47.6304 (223)
R_{obs} (%)	0.81	0.67	0.54	0.78
R_{p} (%)	4.90	5.52	5.03	4.40
B_{Zn} (Å ²)	1.0184	1.0389	1.1022	1.0103
B_{O} (Å ²)	0.7651	0.8961	0.8409	0.7767

2.5 % (nominal)-doped sample, the unit cell volume shrinks with respect to the undoped sample, indicating the presence of compressive strain [25]. Such behavior could be attributed to the formation of zinc vacancies (V_{Zn}) generated to maintain the local charge neutrality, as the Ga

content increases further. Furthermore, the isotropic Debye–Waller factors of Zn (B_{Zn}) and O (B_{O}) atoms follow similar behavior, probably due to static distortion caused by the incorporated Ga ions of larger radius. The B values of Ga^{3+} -doped ZnO nanostructures are slightly large compared to those of pure ZnO as seen from Table 2. This may be due to the fact that there might be inhomogeneous distribution of Ga^{3+} during growth using wet chemical synthesis.

The grain size of the undoped and gallium-doped ZnO nanostructures was estimated from the observed full width at half maximum of their XRD peaks using GRAIN software [26]. The average grain size of the nanostructures was estimated to be about 25 nm, corresponding to approximately eight coherently diffracting domains in each particle [27]. The number of coherently diffracting domains was calculated using the relation $N = r_{\text{SEM}}/r_{\text{X-ray}}$, where N is the number of coherently diffracting domains, r_{SEM} is the average length of the crystals estimated through SEM images, and $r_{\text{X-ray}}$ is the average grain size calculated from the XRD peak profiles.

Maximum entropy method (MEM) [16] is an exact and versatile tool to determine the electron density distribution of crystalline structures. This method needs minimum

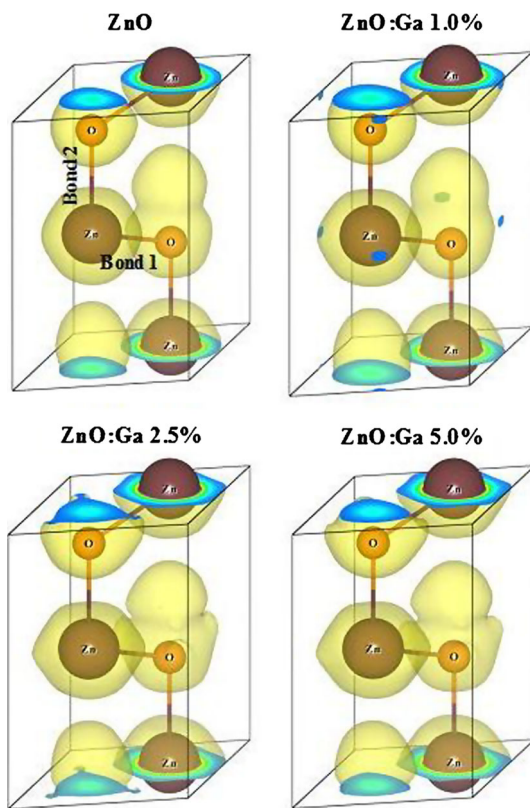


Fig. 4 3D electron density for the undoped and Ga-doped samples (isosurface: $0.52 \text{ e}/\text{\AA}^3$)

information to determine the spatial electron density distribution in a solid crystal with high accuracy based on probabilistic approaches, yielding the least biased information. The only limitation of the method is the computing power of the machine on which it runs. Since the electron density is being refined cyclically in each generated pixel (e.g., in the present work, the primitive cell of the ZnO has been divided into $32 \times 32 \times 48$ pixels), in most of the cases, a supercomputing system is needed for analyzing lower symmetry crystallographic systems. In this work, the software package PRIMA [28] was used for MEM computations, and the obtained results were visualized using the software VESTA [29].

Three-dimensional (3D) electron density distributions of the ZnO:Ga systems with different Ga contents are shown in Fig. 4, considering the isosurface level of $0.52 \text{ e}/\text{\AA}^3$ for all of them. It can be seen that the cross section of the electron density around the cation sites changes progressively with Ga content. However, the main effect of the Ga doping is a notorious increase of the volume of electron density around oxygen sites probably due to the truncation in the experimental number of measured Bragg peaks. Moreover, the present analysis is based on powder data sets, and hence, averaging of equivalent Bragg reflections might have taken place. The above factors give rise to

slight elongation in electron density along *c*-axis. But, we tend to compare the electron densities computed using similar model parameters, and hence this can be justified.

Figure 5 shows two-dimensional (2D) electron density maps corresponding to the doped and undoped samples. The demonstrated planes are parallel to the (100), (110) and (014) crystallographic planes, situated 2.816, 1.625, and 2.68 Å away from the origin of the primitive cell, respectively. The 2D maps reveal that although the cation coordination polyhedra are nearly regular tetrahedrons, the effect of Ga doping on electron distribution is anisotropic. While the bond 1 (108° to the *c*-axis, see Fig. 4) progressively develops a polar-covalent character, the bond 2 (parallel to *c*-axis, see Fig. 4) gets more ionic as the Ga content increases. This behavior can be explained considering the wurtzite structure of ZnO. It is proposed that the extra valence electrons introduced by Ga_{Zn} defects migrate toward the nearest O-plane, increasing the electron density along the bond 1. A smaller difference between Ga–O electronegativities than Zn–O facilitates such electron transfer, inducing a covalent character in bond 1. On the other hand, a lower electron density in the O-planes is expected to increase the polar strength along the [001] axis, making the bond 2 more ionic with the increase of Ga content (as seen from Table 3). Detailed quantitative information concerning the redistribution of electron density along the bond 1 and bond 2 directions are provided in the one-dimensional electron density profiles shown in Fig. 6. The electron densities at the midpoint of both the characteristic Zn–O bonds (bond 1 and bond 2) clearly demonstrate their inverse variation on Ga doping. The calculated mid-bond electron density values are summarized in Table 3 for comparison.

It is clear that a redistribution of the electron density between cations and anions will influence the physical properties of materials. Among them, the vibrational properties, i.e., the phonon modes, are highly sensitive to bond strength [30, 31] determined by the electron density and disturbances in the lattice arrangement [32]. Therefore, Raman spectroscopy could provide valuable information that can be directly related with the formation of lattice defects and changes in electron density due to doping process. Figure 7 shows the Raman spectra of the undoped and gallium-doped samples. For the undoped sample, fundamental phonon modes related to *w*-ZnO structure such as E_{2L} (101 cm^{-1}), A_{1T} (380 cm^{-1}), and E_{2H} (440 cm^{-1}), along with the second-order modes $2-E_{2L}$ (204 cm^{-1}) and $E_{2H}-E_{2L}$ (334 cm^{-1}) [17, 24] are clearly recognized. The observed sharp peaks suggest that undoped ZnO nanostructures do not contain considerable amount of lattice defects. However, as the Ga content increases, the Raman peaks get broadened, and their

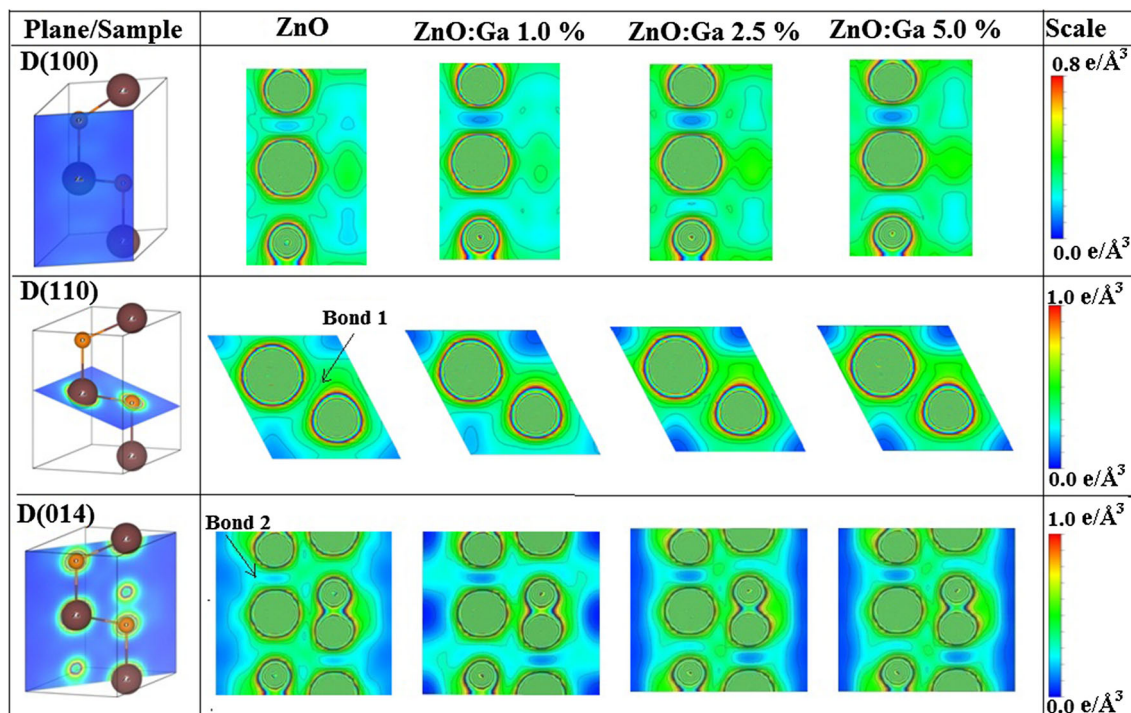


Fig. 5 2D electron density maps drawn parallel to (100), (014), and (110) crystallographic planes of undoped and Ga-doped ZnO samples

Table 3 Calculated bond lengths and mid-bond electron density for Zn–O bond along the bond 1 and bond 2 directions for the doped and undoped samples

Nominal Ga concentration (mol%)	Bond 1		Bond 2	
	Bond length (Å)	Mid-bond electron density ($e/\text{Å}^3$)	Bond length (Å)	Mid-bond electron density ($e/\text{Å}^3$)
0.0	2.0980	0.3872	2.1824	0.2029
1.0	2.0982	0.4443	2.1652	0.1460
2.5	2.1398	0.4195	2.1796	0.1383
5.0	2.1158	0.4217	2.1640	0.1376

intensity decreases considerably, suggesting the formation of lattice defects associated with the incorporated Ga atoms. It can be noted further that the Raman spectra of the Ga-doped samples present a broad background in the range of 300–600 cm^{-1} . It is attributed to fluorescence coming from the samples.

Wang et al. [33] and Windisch et al. [34] have suggested that intrinsic mixing of two different cations via doping may induce charge redistribution and therefore changes of the local polarizability; as a result, the scattering cross section of at least one vibrational mode could be strongly influenced. The inset of Fig. 7 shows the effect of Ga content on the Raman peaks assigned to the fundamental E_2 modes of w -ZnO. Although as light red-shift (lower frequency) of both the peaks is observed due to Ga doping, the main effect is the quench of the Raman signal of E_{2H}

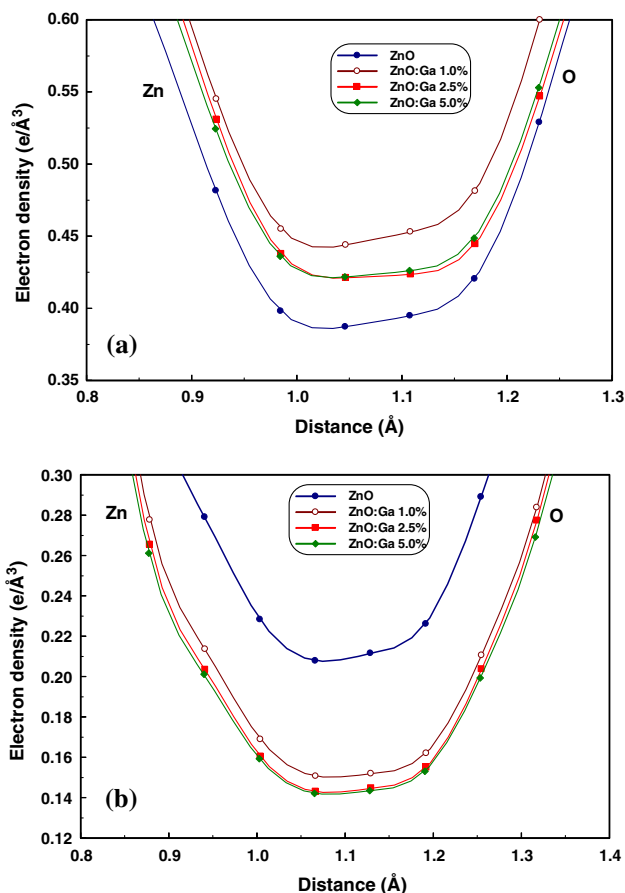


Fig. 6 One-dimensional electron density profiles for the samples along the **a** bond 1 **b** bond 2 directions

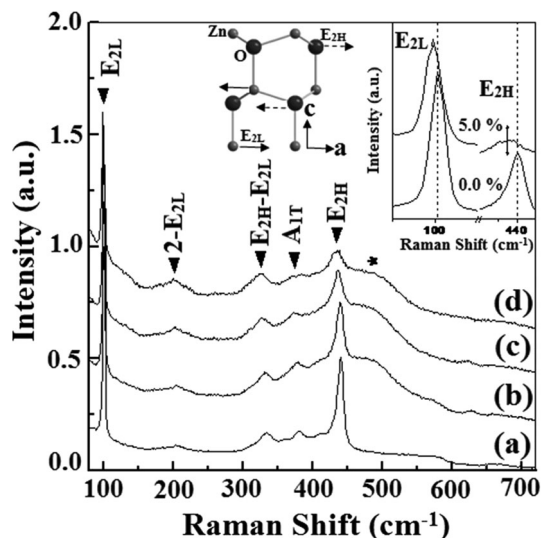


Fig. 7 Raman spectra of the *a* undoped, *b* 1.0, *c* 2.5, and *d* 5.0 mol% (nominal) Ga-doped samples. *Inset* detail of E_{2L} and E_{2H} Raman peaks of the undoped and the heaviest-doped samples. The scheme indicates the relative motion of Zn and O atoms in E_{2L} and E_{2H} phonon modes

mode (O-sublattice). Since oxygen is less massive than zinc, it is expected that the redistribution of electron density due to gallium doping has a stronger influence on the oxygen sublattice. Such perturbation of oxygen environment is enhanced by the generation of point defects (like V_{Zn}) for preserving local neutrality [18]. In this regard, Bundesmann et al. [32] observed quenching of E_{2H} Raman peak in their doped ZnO samples, regardless of the doping atom identity (Fe, Sb, Al, and Ga). Therefore, changes of the E_{2H} Raman peak of ZnO could be used as sign of effective doping.

Obtained MEM results indicate that the redistribution of electron density is induced by Ga atoms located at Zn sites. Such a change in lattice-site environment due to incorporation of the electronic impurities causes a breakdown of translational symmetry of ZnO lattice as Raman spectroscopy reveals. If the Ga atoms incorporated mainly as substitutional defects, then we can expect a large density of extra valence electrons, which is capable of modifying the optical properties of ZnO nanostructures. Therefore, optical spectroscopies can provide valuable information in this regard.

The diffuse reflectance spectra of the doped and undoped samples are shown in Fig. 8. All the samples revealed an abrupt decrease of reflectance at the UV region related with the band edge absorption. It is interesting to note that as the Ga content increases, the reflectance in the visible region diminishes notoriously, possibly due to the incorporation of defect levels in the forbidden energy gap

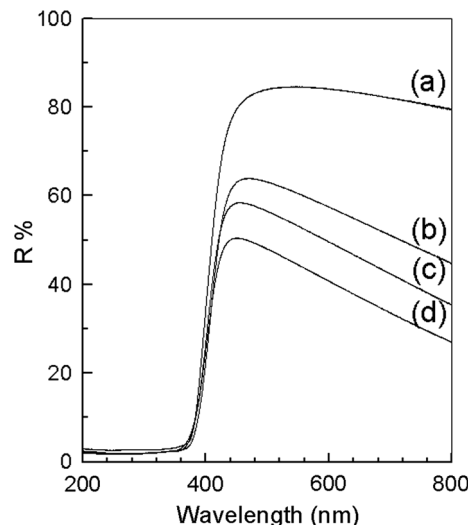


Fig. 8 Diffuse reflectance spectra of the *a* undoped, *b* 1.0, *c* 2.5, and *d* 5.0 mol% (nominal) Ga-doped samples

and formation of band tails [35]. The estimated band gap energy (E_g) and band-tail parameter (E_0) for each sample applying the K–M (Kubelka–Munk) formalism [36] and the Halperin–Lax theory [37], respectively, are summarized in Table 1. As can be seen, the increase of Ga content results in a slight increase of E_g , which can be attributed to the Burstein–Moss shift [38–40], the values of E_0 (see Table 1) support this assumption. In this regard, it has been demonstrated that higher values of band-tail parameter correspond to higher carrier concentrations [41].

Conclusions

Through hydrothermal process, one-dimensional ZnO nanostructures with different Ga contents could be grown. Our results indicate that the incorporated Ga atoms basically introduce into the ZnO lattice by substituting Zn atoms. Due to the substitution of divalent Zn atoms by trivalent Ga atoms, the charge density around the lattice sites gets modified. It has been observed that the redistribution of electron density induced by Ga doping is not isotropic. On increasing the Ga content, while the Zn–O bond disposed along the *c*-axis gets more ionic, the lateral Zn–O bond (108° to the *c*-axis) acquires a mixed ionic-covalent character. The effect of electron redistribution around the lattice sites also affects the vibrational and optical properties of the ZnO nanostructures. A large incorporation of Ga_{Zn} defects modifies strongly the lattice dynamics and increases the carrier concentration of the ZnO nanostructures, inducing the formation of band tails into the forbidden band gap.

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